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Attorney's Docket No.: L0461/7086 (JULY)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ono et al.
Serial No. : 09/559,013
Filing Date : April 26, 2000
For : CANCER ASSOCIATED ANTIGENS AND USES THEREFOR
Examiner : Unknown
Art Unit : Unknown

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Monica E. Zombori

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Commissioner for Patents
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Customer Service Center
Washington, DC 20231

Sir:

Transmitted herewith are the following documents:

- ☒ Request to Correct Filing Receipt
- ☒ Copy of Filing Receipt with correction indicated in red ink
- ☒ Copy of Previously Filed Communication
- ☒ Copy of Previously Filed Stamped Express Mail Label
- ☒ Copy of Previously Filed Utility Application Transmittal
- ☒ Return Receipt Postcard

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If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

No check is enclosed. If a fee is determined to be required, then please charge the fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

John R. Van Amsterdam, Reg. No. 40,212
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500

Docket No.: L0461/7086
Date: July 14, 2000
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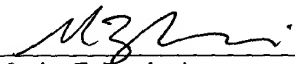
Attorney's Docket No.: **L0461/7086 (JRV)**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ono et al.
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Monica E. Zombori

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Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

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REQUEST TO CORRECT FILING RECEIPT

Sir:


Please make the following corrections as indicated in red on the attached copy of the Filing Receipt:

From:	FILING DATE	To:	FILING DATE
	04/27/00		04/26/00

For an explanation of this correction Applicants enclose herewith a copy of the Communication which was filed on May 10, 2000.

If you have any questions, please do not hesitate to contact the undersigned at (617) 720-3500.

Respectfully submitted,


John R. Van Amsterdam, Reg. No. 40,212
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500

Docket No.: L0461/7086
Date: July 14, 2000
xNDD

468122.1

FILING RECEIPT



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UNITED STATES DEPARTMENT OF COMMERCE
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COMMISSIONER OF PATENT AND TRADEMARKS
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JRV

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/559,013	04/27/2000	1635	0	L0461/7086(JRV)	-	121	21

John R Van Amsterdam
Wolf Greenfield and Sacks P C
600 Atlantic Avenue
Boston, MA 02210Should be
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Date Mailed: 07/05/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Toshiro Ono, Residence Not Provided;
Eiichi Nakayama, Residence Not Provided;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/168,353 12/01/1999

Foreign Applications

If Required, Foreign Filing License Granted 07/05/2000

Title

Cancer associated antigens and uses therefor

Preliminary Class

514

Data entry by : DURHAM, DESHAWN

Team : OIPE

Date: 07/05/2000

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Serial No. 09/559,013 File No. L0461/7886 By: JKV

Title: CANCER ASSOCIATED ANTIGENS AND USES THEREFOR

Application of Ono et al. WGS Date: NDD

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

<input type="checkbox"/> Mailing by Express Mail (37 CFR 1.10)	<input type="checkbox"/> Provisional Application Cover Sheet
<input type="checkbox"/> Express Mail Label No. _____	<input type="checkbox"/> Multiple Dependent Claim Fee Sheet
<input type="checkbox"/> Patent Application	<input type="checkbox"/> Inf. Discl. Statement, PTO Form 1449
<input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional	<input type="checkbox"/> References Cited
Incl. _____ pages, (_____ pgs) Specification,	<input type="checkbox"/> Priority Document(s) # _____
(_____ pgs) Abstract, (_____ pgs) Claims (_____ # claims)	<input type="checkbox"/> Copy of Notice to File Missing Parts
<input type="checkbox"/> Design Patent Application	<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Declaration(s) _____	<input type="checkbox"/> Petition for Ext. of Time (x2)
<input type="checkbox"/> Drawings _____ Sheet(s) (FIGS. _____)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input type="checkbox"/> Letter to Official Draftsperson
<input type="checkbox"/> Utility Patent Application Transmittal	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Fee calculation sheet (x2)	<input type="checkbox"/> Brief (x3)
<input type="checkbox"/> CPA Transmittal	<input type="checkbox"/> Check for \$ _____ Check # _____
<input type="checkbox"/> Verified Statement claiming small entity status	<input checked="" type="checkbox"/> Transmittal Letter (x2)
<input type="checkbox"/> Assignment and Cover Sheet	<input checked="" type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a)

☒ Other Communication for Examiner
Copy of stamped Express Mail label
Copy of Utility Application transmittal

DATE MAILED May 10, 2000



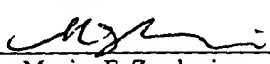
Attorney's Docket No.: L0461/7086 (JRV)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ono et al.
Serial No. : 09/559,013
Filing Date : April 26, 2000
For : CANCER ASSOCIATED ANTIGENS AND USES THEREFOR
Examiner : Unknown
Art Unit : Unknown

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, Washington, D.C. 20231, on the 10 day of May, 2000.


Monica E. Zombori

Commissioner for Patents
Washington, DC 20231

Sir:

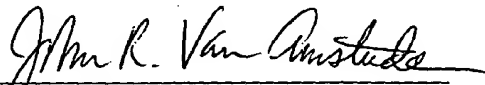
Transmitted herewith are the following documents:

- ☒ [X] Communication
- ☒ [X] Copy of Stamped Express Mail Label
- ☒ [X] Copy of Utility Application Transmittal
- ☒ [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

Any fee which may be due in this matter may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,


John R. Van Amsterdam, Reg. No. 40,212
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210
Tel. (617)720-3500

Attorney's Docket No.: L0461/7086
Date: May 10, 2000

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Attorney's Docket No.: L0461/7086 (JRV)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ono et al.
Serial No. : 09/559,013
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Examiner : Unknown
Art Unit : Unknown

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Monica E. Zombori

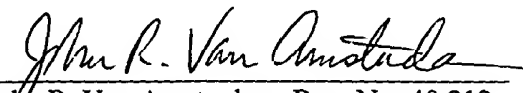
Commissioner for Patents
Washington, DC 20231

COMMUNICATION

Sir:

Applicants note that the date printed on the Return Receipt Postcard received from the USPTO does not match the date the above-identified application was filed in the USPTO. Applicants enclose herewith a copy of the express mail label stamped by the U.S. Postal Service and a copy of the Utility Patent Application Transmittal. Applicants respectfully request that the filing date be correctly recorded as April 26, 2000.

Respectfully submitted,


John R. Van Amsterdam, Reg. No. 40,212
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210
Tel. (617)720-3500

Attorney's Docket No.: L0461/7086
Date: May 10, 2000

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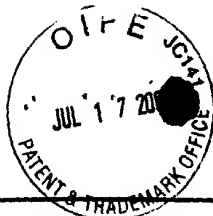
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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	L046177086 (JRV)
	First Named Inventor or Application Identifier	
	Ono et al.	
	Express Mail Label No.	EL018097968US
	Date of Deposit	April 26, 2000

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>	ADDRESS Box Patent Application TO: Assistant Commissioner for Patents Washington, DC 20231
<p>1. <input type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Application [Total pages 80] 64 - pages specification 1 - pages abstract 15 - pages claims 121 - Total claims</p> <p>3. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets] <input type="checkbox"/> Informal <input type="checkbox"/> Formal [Total drawings]</p> <p>4. <input type="checkbox"/> Oath or Declaration [Total pages] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Unsigned c. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> [Note Box 5 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation by Reference <i>(usable if Box 4c is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4c, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input checked="" type="checkbox"/> Computer Readable Copy b. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy) c. <input checked="" type="checkbox"/> Statement verifying identity of above copies</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>8. <input type="checkbox"/> Assignment Papers/cover sheet & documents(s)</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation of Document <i>(if applicable)</i></p> <p>11. <input type="checkbox"/> Information Disclosure Statement PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>14. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p>
16. Other:	
<p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.:</p> <p><input type="checkbox"/> Cancel in this application original claims of the prior application before calculating the filing fee.</p> <p><input type="checkbox"/> Amend the specification by inserting before the first line the sentence:</p> <p>This application is a <input type="checkbox"/> continuation <input type="checkbox"/> divisional of application serial no. , filed , entitled , and now .</p>	



18. CORRESPONDENCE ADDRESS					
Correspondence address below					
ATTORNEY'S NAME	John R. Van Amsterdam, Reg. No. 40,212				
NAME	Wolf, Greenfield & Sacks, P.C.				
ADDRESS	600 Atlantic Avenue				
CITY	Boston	STATE	MA	ZIP	02210
COUNTRY	USA	TELEPHONE	(617) 720-3500	FAX	(617) 720-2441

19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	John R. Van Amsterdam, Reg. No. 40,212
SIGNATURE	<i>John R. Van Amsterdam</i>
DATE	APRIL 26, 2000

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 Washington, D.C. 20231

SERIAL NUMBER 09/559,013	FILING DATE 04/26/2000 RULE -	CLASS 514	GROUP ART UNIT 1635	ATTORNEY DOCKET NO. L0461/7086(JRV)
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APPLICANTS
 Toshiro Ono, Residence Not Provided;
 Eiichi Nakayama, Residence Not Provided;
**** CONTINUING DATA *******

THIS APPLN CLAIMS BENEFIT OF 60/168,353 12/01/1999

**** FOREIGN APPLICATIONS *********IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

** 07/05/2000

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Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY -	SHEETS DRAWING -	TOTAL CLAIMS 121	INDEPENDENT CLAIMS 21
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

ADDRESS
 John R Van Amsterdam
 Wolf Greenfield and Sacks P C
 600 Atlantic Avenue
 Boston ,MA 02210
TITLE

Cancer associated antigens and uses therefor

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